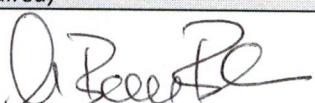


Puerto Rico Financial Oversight & Management Board
PUBLIC FINANCIAL DISCLOSURE REPORT
Periodic Transaction Report

Filer's Name JOSÉ B. CARRIÓN RUBERT		Title of Position for Which Filing CHAIRMAN OF PROMESA	
Certification: I certify that the statements I have made on this form and any attachments are true, complete, and correct to the best of my knowledge.	Signature of Filer	Date (MM/DD/YYYY)	Notice of Extension (indicate the number of days if applicable)
		11/9/18	N/A
Signature of Ethics Official (if required) 	Date (MM/DD/YYYY) 11/10/18	Comments of Ethics Official N/A	
Transactions Report any purchase, sale, or exchange by you, your spouse, or dependent child of stocks, bonds, commodity futures, and other securities if the amount of the transaction exceeded \$1,000. You do not need to report: (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between you, your spouse, or dependent child.			
Definitions An "excepted investment fund" is an investment fund that is: (1) independently managed, (2) "widely held," and (3) either "publicly traded or available" or "widely diversified." For purposes of the excepted investment fund definition, an investment fund is: <ul style="list-style-type: none"> • "independently managed" if you lack the ability to control the fund; • "widely held" if the fund has at least 100 natural persons as direct or indirect investors; • "publicly traded or available" if it is listed on a national exchange (NYSE or NASDAQ) or a regional exchange in the United States; and • "widely diversified" if it: (1) holds no more than 5% of the value of its portfolio in the securities of any issuer (other than the United States Government) and (2) holds no more than 20% of the value of its portfolio in any particular economic or geographic sector. 			
Deadlines You must file your report within 30 days of when you receive notification of a transaction but not later than 45 days after the transaction. These deadlines do not apply to any voluntary additional disclosures you make.			

Filer's Name: J. CARRIÓN

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Filer's Name: J. CARRION

Schedule 1

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Schedule 2

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Schedule 3

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